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**Date:** November 17, 2003

**Number of pages** (including cover): 21

**To:** Examiner, Thái-An N. Ton, U.S. Patent and Trademark Office  
Group 1600, PTO Fax Center, Crystal Mall 1

**CM1 Fax No.:** 703-872-9306

**Serial No.:** 09/489,101

**Title:** SMALL CELL LUNG CANCER ASSOCIATED ANTIGENS AND USES  
THEREFOR

**From:** John R. Van Amsterdam, Ph.D.

**Direct dial:** 617.573.7833

**Our File #:** L0461.70073US00

**CERTIFICATE OF FACSIMILE TRANSMISSION 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being transmitted via facsimile to the attention of Examiner Thái-An N. Ton, FAX number 703-872-9306, Mail Stop AF, United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, in accordance with 37 C.F.R. §1.6(d), on the 17<sup>th</sup> day of November, 2003.

John R. Van Amsterdam, Ph.D.

**ORIGINAL DOCUMENTS WILL NOT BE MAILED.**

**MESSAGE:** Transmitted herewith are the following documents: Petition for Three (3) Month Extension of Time; Letter to Examiner; Notice of Appeal; Request for Refund; Copies of documents filed 8/13/03; and a copy of postcard date-stamped received by PTO on 8/15/03.

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EXPEDITED PROCEDURE  
RESPONSE AFTER FINAL  
UNDER 37 C.F.R. §1.116  
ART UNIT: 1632

OFFICIAL

Attorney Docket No: L0461.70073US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Gure et al.  
Serial No: 09/489,101  
Confirmation No.: 5361  
Filed: January 21, 2000  
For: SMALL CELL LUNG CANCER ASSOCIATED ANTIGENS AND USES  
THEREFOR

Examiner: Thái-An N. Ton  
Art Unit: 1632

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John R. Van Amsterdam, Ph.D.

Mail Stop AF  
Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:


Transmitted herewith are the following documents:

- ☒ Notice of Appeal
- ☒ Petition for Three (3) Month Extension of Time
- ☒ Letter to Examiner
- ☒ Request for Refund under 37 C.F.R. §1.28(a)
- ☒ Copy of Documents Filed 8/13/03
- ☒ Copy of Postcard Date-Stamped Received by PTO on 8/15/03

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

Please charge \$1,280.00 to Deposit Account No. 23/2825 to cover the Notice of Appeal fee and extension of time fee. If the enclosed fee is insufficient, any deficiency may be charged to the account of the undersigned, Deposit Account 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

By:   
John R. Van Amsterdam, Reg. No. 40,212  
Woff, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, Massachusetts 02210-2211  
Telephone: (617)720-3500

Docket No. L0461.70073US00  
Date: November 17, 2003  
X11/15/03X

**EXPEDITED PROCEDURE  
RESPONSE AFTER FINAL  
UNDER 37 C.F.R. §1.116  
ART UNIT: 1632**

Docket No: L0461.70073US00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Gure et al.  
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**CERTIFICATE OF FACSIMILE TRANSMISSION 37 C.F.R. §1.8(a)**

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John R. Van Amsterdam, Ph.D.

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

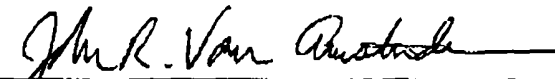
**NOTICE OF APPEAL  
TO THE BOARD OF PATENT APPEALS AND INTERFERENCES**

Sir:

Applicant hereby appeals to the Board of Patent Appeals and Interferences from the decision dated May 15, 2003 rejecting claims 1, 2, 118, 121, 123, 125, 126 and 128-135.

Please charge the Appeal Fee of \$330.00 as set forth in 37 C.F.R. 1.17(b) to Deposit Account No. 23/2825. If the fee is insufficient, any deficiency may be charged to the account of the undersigned, Deposit Account No. 23/2825.

Respectfully submitted,

By:   
John R. Van Amsterdam, Reg. No. 40,212  
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600 Atlantic Avenue  
Boston, Massachusetts 02210-2211  
Telephone: (617) 720-3500

Docket No.: L0461.70073US00  
Date: November 17, 2003  
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